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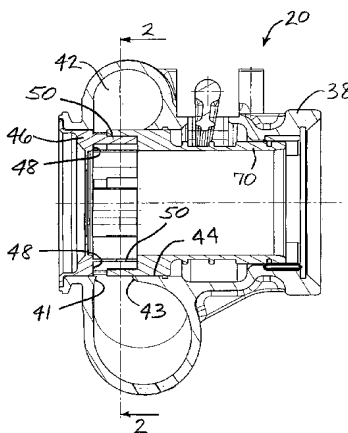
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(54) Title: TURBOCHARGER WITH STEPPED TWO-STAGE VANE NOZZLE



(57) Abstract: A turbocharger having a variable nozzle with stepped two-stage vanes (50), the variable nozzle comprising a tubular piston (70) disposed in the bore (44) of the turbine housing (38) such that the piston (70) is axially slidable adjacent to the vanes (50) that extend across the nozzle. Each vane defines a first vane stage (50a) proximate the free end of the vane, the second vane stage (50b) having a different aerodynamic contour in comparison with the first vane stage (50a), each vane comprising a step (60) transitioning from the first vane stage (50a) to the second vane stage (50b). The piston (70) in a closed position closes the second vane stage (50b) so that exhaust gas flows only through the first vane stage (50a). The second vane stage (50b) is progressively opened as the piston (70) is axially slid toward an open position.

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## TURBOCHARGER WITH STEPPED TWO-STAGE VANE NOZZLE

### BACKGROUND OF THE INVENTION

[0001] The present invention relates generally to turbochargers, and relates more particularly to exhaust gas-driven turbochargers having an axially sliding piston for varying the size of a nozzle that leads into the turbine wheel so as to regulate flow into the turbine wheel.

[0002] Regulation of the exhaust gas flow through the turbine of an exhaust gas-driven turbocharger provides known operational advantages in terms of improved ability to control the amount of boost delivered by the turbocharger to the associated internal combustion engine. The regulation of exhaust gas flow is accomplished by incorporating variable geometry into the nozzle that leads into the turbine wheel. By varying the size of the nozzle flow area, the flow into the turbine wheel can be regulated, thereby regulating the overall boost provided by the turbocharger's compressor.

[0003] Variable-geometry nozzles for turbochargers generally fall into two main categories: variable-vane nozzles, and sliding-piston nozzles. Vanes are often included in the turbine nozzle for directing the exhaust gas into the turbine in an advantageous direction. Typically a row of circumferentially spaced vanes extend axially across the nozzle. Exhaust gas from a chamber surrounding the turbine wheel flows generally radially inwardly through passages between the vanes, and the vanes turn the flow to direct the flow in a desired direction into the turbine wheel. In a variable-vane nozzle, the vanes are rotatable about their axes to vary the angle at which the vanes are set, thereby varying the flow area of the passages between the vanes.

[0004] In the sliding-piston type of nozzle, the nozzle may also include vanes, but the vanes are fixed in position. Variation of the nozzle flow area is accomplished by an axially sliding piston that slides in a bore in the turbine housing. The piston is tubular and is located just radially inwardly of the nozzle. Axial movement of the piston is effective to vary the axial extent of the nozzle opening leading into the turbine wheel. When vanes are included in the nozzle, the piston can slide adjacent to radially inner (i.e., trailing) edges of the vanes; alternatively, the piston and vanes can overlap in the radial direction and the piston can include

slots for receiving at least a portion of the vanes as the piston is slid axially to adjust the nozzle opening.

[0005] One of the design challenges with such sliding piston-type nozzles is to optimize the aerodynamics of the exhaust gas flow into the turbine wheel over the full stroke of the piston. In some sliding piston-type variable nozzles, flow disturbance can occur particularly in the beginning of piston stroke as the piston begins to move from its closed position toward a more-open position. More particularly, as the piston begins to open even a very small amount, the flow rate into the turbine can suddenly increase, making it difficult to regulate the piston stroke with sufficient accuracy to prevent a sudden flow surge.

#### BRIEF SUMMARY OF THE INVENTION

[0006] The present invention addresses the above needs and achieves other advantages, by providing a turbocharger having a sliding piston-type variable nozzle in which stepped two-stage vanes are employed. In accordance with one embodiment of the invention, the turbocharger includes a turbine wheel disposed in a bore of a turbine housing, the turbine housing defining a chamber surrounding the turbine wheel for receiving exhaust gas to be directed into the turbine wheel, a radially inner side of the chamber having an axial length. The turbocharger further comprising a variable nozzle having stepped two-stage vanes, the variable nozzle comprising a tubular piston disposed in the bore of the turbine housing such that the piston is axially slidable relative to the turbine housing along the radially inner side of the chamber such that the piston blocks a variable portion of the axial length of the chamber depending on axial position of the piston, the piston having an upstream end and a downstream end with respect to a flow direction of exhaust gas along the bore of the turbine housing. A generally annular wall extends generally radially inwardly adjacent an upstream end of the axial length of the chamber, and an array of circumferentially spaced vanes have fixed ends joined to the generally annular wall and opposite free ends, the vanes extending across the axial length of the chamber. Each of the vanes has an outer surface that faces generally radially outwardly and an opposite inner surface that faces generally radially inwardly. Each vane defines a first vane stage proximate the fixed end of the vane and a second vane stage proximate the free end of the vane, the second vane stage having a different aerodynamic contour of at least one of the outer and inner surfaces in comparison with

the first vane stage, each vane comprising a step transitioning from the first vane stage to the second vane stage. The piston in a closed position closes the second vane stage so that exhaust gas flows only through the first vane stage. The second vane stage is progressively opened as the piston is axially slid toward an open position.

[0007] In accordance with the invention, the first vane stage can be aerodynamically tailored to optimize turbocharger performance at low engine speeds where the exhaust gas flow rate is relatively low. The second vane stage can be designed to optimize turbocharger performance at higher engine speeds where exhaust gas flow rates are substantially greater. The stepped two-stage vane design thus provides a greater degree of design flexibility.

[0008] In one embodiment of the invention, the step in each vane defines a downstream-facing surface that is abutted by the piston to define the closed position of the piston. The step can be defined in the outer surface of each vane, and the upstream end of the piston can have a radially inner surface that travels adjacent to the outer surfaces of the second vane stages as the piston is axially slid. Thus, the second vane stages are received into the central passage of the tubular piston as the piston moves toward the closed position.

[0009] Alternatively, the step can be defined in the inner surface of each vane, and the upstream end of the piston can have a radially outer surface that travels adjacent to the inner surfaces of the second vane stages as the piston is axially slid. In this variation, the piston is smaller in diameter than the vane array and the second vane stages reside adjacent the radially outer surface of the piston as the piston moves toward the closed position.

[0010] In another embodiment, the step is in the outer surface of each vane, and the upstream end of the piston has a radially outer surface in which recesses are formed for respectively receiving the second vane stages with the inner surface of each second vane stage confronting a radially outwardly facing wall of each respective recess. The free ends of the vanes abut end walls of the recesses to define the closed position of the piston. The engagement of the second vane stages in the recesses of the piston serves to prevent rotation of the piston.

[0011] According to a further embodiment, the upstream end of the piston has a radial wall thickness exceeding a radial extent of the second vane stages, and the piston defines slots

extending into the upstream end of the piston for receiving the second vane stages. The step in the vanes can abut the end of the piston to define the closed position of the piston. The step can be in the outer surface of each vane, or alternatively the step can be in the inner surface or in both the outer and inner surfaces.

[0012] In yet another embodiment of the invention, the upstream end of the piston defines a radially outwardly extending flange, the flange defining apertures therethrough for receiving the second vane stages. The flange can abut the step in the vanes to define the closed position of the piston. The step can be defined in both outer and inner surfaces of the vanes, or alternatively can be in one or the other of the surfaces.

#### BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWING(S)

[0013] Having thus described the invention in general terms, reference will now be made to the accompanying drawings, which are not necessarily drawn to scale, and wherein:

[0014] FIG. 1 is a cross-sectional view of a turbine assembly for a turbocharger, in accordance with a first embodiment of the invention, showing the piston in a closed position;

[0015] FIG. 2 is a cross-sectional view along line 2-2 in FIG. 1;

[0016] FIG. 3 is a view similar to FIG. 1, showing the piston in an open position;

[0017] FIG. 4 is a cross-sectional view along line 4-4 in FIG. 3;

[0018] FIG. 5 is a cross-sectional view of a turbine assembly for a turbocharger, in accordance with a second embodiment of the invention, showing the piston in a closed position;

[0019] FIG. 6 is a cross-sectional view along line 6-6 in FIG. 5;

[0020] FIG. 7 is a view similar to FIG. 5, showing the piston in an open position;

[0021] FIG. 8 is a cross-sectional view along line 8-8 in FIG. 7;

[0022] FIG. 9 is a cross-sectional view of a turbine assembly for a turbocharger, in accordance with a third embodiment of the invention, showing the piston in a closed position;

- [0023] FIG. 10 is a cross-sectional view along line 10-10 in FIG. 9;
- [0024] FIG. 11 is a view similar to FIG. 9, showing the piston in an open position;
- [0025] FIG. 12 is a cross-sectional view along line 12-12 in FIG. 11;
- [0026] FIG. 13 is a cross-sectional view of a turbine assembly for a turbocharger, in accordance with a fourth embodiment of the invention, showing the piston in a closed position;
- [0027] FIG. 14 is a cross-sectional view along line 14-14 of FIG. 13;
- [0028] FIG. 15 is a cross-sectional view of a turbine assembly for a turbocharger, in accordance with a fifth embodiment of the invention, showing the piston in a closed position;
- [0029] FIG. 16 is a cross-sectional view along line 16-16 of FIG. 15;
- [0030] FIG. 17 is a cross-sectional view of a turbine assembly for a turbocharger, in accordance with a sixth embodiment of the invention, showing the piston in a closed position; and
- [0031] FIG. 18 is a cross-sectional view along line 18-18 of FIG. 17.

#### DETAILED DESCRIPTION OF THE INVENTION

[0032] The present inventions now will be described more fully hereinafter with reference to the accompanying drawings in which some but not all embodiments of the inventions are shown. Indeed, these inventions may be embodied in many different forms and should not be construed as limited to the embodiments set forth herein; rather, these embodiments are provided so that this disclosure will satisfy applicable legal requirements. Like numbers refer to like elements throughout.

[0033] FIGS. 1 through 4 illustrate a turbine assembly **20** for a turbocharger, having a sliding piston-type variable nozzle in accordance with a first embodiment of the invention. The turbine assembly includes a turbine housing **38** adapted to be coupled to a center housing (not shown) of the turbocharger. A turbine wheel (not shown) is mounted on an end of a rotatable shaft (not

shown) of the turbocharger and is disposed in the turbine housing. The turbine housing defines a chamber **42** that surrounds the turbine wheel and receives exhaust gas from the internal combustion engine. Exhaust gas is directed from the chamber **42** into the turbine wheel, which expands the exhaust gas and is driven thereby so as to drive a compressor wheel (not shown) mounted on the opposite end of the shaft. The chamber **42** at its radially inner side has an axial extent, defined between opposite walls **41**, **43** of the chamber, that generally corresponds to an axial extent of the blades of the turbine wheel, although in some cases it may be desirable for the axial extent of the chamber to be somewhat greater than that of the turbine blades to allow for the possibility of some proportion of exhaust gas flow to bypass the turbine blades, as further described below. The opening defined between the walls **41**, **43** of the chamber makes up part of what is referred to herein as the turbine nozzle.

[0034] The turbine housing **38** defines a bore **44** whose diameter generally corresponds to a radially innermost extent of the chamber **42**. The turbine wheel resides in an upstream end of the bore **44** and the turbine wheel's rotational axis is substantially coaxial with the bore. The term "upstream" in this context refers to the direction of exhaust gas flow through the bore **44**, as the exhaust gas in the chamber **42** flows into the turbine wheel and is then turned to flow generally axially (left to right in FIG. 1) through the bore **44** to its downstream end. Thus, "upstream" is to the left and "downstream" is to the right in FIG. 1.

[0035] The turbocharger **20** includes a heat shield **46** that is mounted between the turbine housing **38** and the center housing and provides a barrier substantially preventing hot exhaust gas from infiltrating or excessively heating up the bearing area in the center housing. The heat shield comprises a generally annular wall **48** that extends generally radially inwardly from, and essentially serves as an extension of, the wall **41** of the turbine housing chamber **42**, adjacent an upstream end of the turbine wheel. Axially projecting from the wall **48** is an array of circumferentially spaced vanes **50**. The vanes have fixed ends joined to the wall **48**, and opposite free ends. The length of the vanes from the wall **48** to the free ends of the vanes substantially corresponds to the axial length defined between the walls **41**, **43** of the turbine housing chamber at its radially inner side. Thus, the vanes **50** extend substantially all the way across the opening between the walls **41**, **43**, and the free ends of the vanes can abut the wall **43**

if desired. Alternatively, however, the vanes could be shorter than the axial length of the chamber opening.

[0036] Each vane **50** comprises a stepped vane. More specifically, with particular reference to FIGS. 3 and 4, each vane has an outer surface **52** that faces generally radially outwardly, and an inner surface **54** that faces generally radially inwardly. Each vane has a leading edge **56** and a trailing edge **58** with respect to a direction of flow of exhaust gas through the spaces defined between adjacent vanes. The outer surface **52** of each vane has a step **60** that extends from the leading edge **56** partway toward the trailing edge **58**. The step **60** has its maximum height at the leading edge **56** and gradually diminishes in height with distance along the vane toward the trailing edge **58** until the step vanishes. The step **60** divides the vane into two portions: a first vane stage **50a** that extends from the fixed end of the vane at the wall **48** up to the step **60**; and a second vane stage **50b** that extends from the step **60** to the free end of the vane. The step **60** creates an axially facing surface **62** that faces generally toward the turbine chamber wall **43**. As further described below, the surfaces **62** of the stepped vanes serve as a stop for an axially sliding piston of the variable nozzle mechanism.

[0037] The turbine assembly **20** further comprises an axially sliding piston **70** of tubular form. The piston is disposed within the bore **44** of the turbine housing and is axially slidable in the bore. At least one sealing ring is disposed in a groove in the radially outer surface of the piston for engaging the inner surface of the bore **44** to provide sealing between the piston and bore so that exhaust gas cannot escape between these parts. The piston is slidable between a closed position (FIG. 1) and an open position (FIG. 3), and various intermediate positions therebetween. The inner diameter of at least the upstream end portion of the piston that axially overlaps the second vane stage **50b** is slightly greater than the maximum diameter of the second vane stage. The piston's radially inner surface travels adjacent to the outer surfaces **52** of the second vane stages **50b** as the piston is axially slid. In the closed position of the piston, an upstream end surface **74** of the piston abuts the stop surfaces **62** of the stepped vanes. In this position, the piston effectively blocks the entire second vane stage **50b** so that exhaust gas is prevented from flowing through the second vane stage to the turbine wheel. Accordingly, apart from a very small amount of leakage of exhaust gas that may occur between the stop surfaces **62** and the piston end surface **74** and then through the second vane stages **50b**, substantially all of



the exhaust gas that enters the turbine wheel flows through the first vane stage **50a** when the piston is closed.

[0038] In the open position (FIG. 3) of the piston **70**, the upstream end surface **74** can be generally in axial alignment with the wall **43** of the turbine housing chamber, or can be somewhat upstream of or downstream of the wall **43**. When the piston is axially slid in the downstream direction starting from the closed position, the end surface **74** of the piston separates from the stop surfaces **62** of the stepped vanes, and accordingly exhaust gas begins to flow through the unblocked portion of the second vane stage **50b**. With further travel of the piston, more and more of the second vane stage is unblocked so that a greater and greater proportion of the total exhaust gas flow passes through the second vane stage. When the piston reaches the open (i.e., fully open) position, preferably the entire length of the second vane stage is unblocked.

[0039] In accordance with the invention, the first vane stage **50a** has a different aerodynamic contour than the second vane stage **50b**. The first vane stage can be optimized for low engine speeds where exhaust gas flow rates are relatively low. The second vane stage can be optimized for higher engine speeds where exhaust gas flow rates are higher.

[0040] The presence of the second vane stage **50b**, in comparison with having no vanes at all in the second nozzle portion, allows a smoother turbine flow rate evolution as the piston just begins to open from its fully closed position. With no vanes present, the turbine flow rate tends to suddenly increase when the piston just begins to open. This makes it difficult to regulate the piston stroke with sufficient accuracy to prevent a sudden flow surge. The result can be poor vehicle behavior as the degree of turbocharging provided to the engine suddenly increases. The presence of the second vane stage **50b** helps prevent such sudden flow surge. Additionally, as noted, the second vane stage can have a different aerodynamic contour compared to the first vane stage. This allows an optimization of the vane incidence and the flow angle exiting the vanes into the turbine stage for each of the first and second vane stages, thereby enhancing design flexibility.

[0041] FIGS. 5 through 8 illustrate a turbine assembly 20' of a turbocharger in accordance with a second embodiment of the invention. The turbine assembly 20' is generally similar to the turbine assembly 20 described above. The turbine assembly 20' includes a turbine housing 38 defining a chamber 42 and having a bore 44 generally as in the prior embodiment. A heat shield 46 is mounted between the turbine housing 38 and the center housing (not shown) and defines a generally annular wall 48 as in the prior embodiment. An array of circumferentially spaced stepped two-stage vanes 50' are joined to the wall 48 and project axially therefrom across the radially inner side of the chamber 42, with free ends of the vanes closely adjacent or abutting the wall 43 of the chamber.

[0042] Each vane has an outer surface 52' that faces generally radially outwardly, and an inner surface 54' that faces generally radially inwardly. Each vane has a leading edge 56' and a trailing edge 58' with respect to a direction of flow of exhaust gas through the spaces defined between adjacent vanes. The inner surface 54' of each vane has a step 60' that extends from the trailing edge 58' partway toward the leading edge 56'. The step 60' has its maximum height at the trailing edge 58' and gradually diminishes in height with distance along the vane toward the leading edge 56' until the step vanishes. The step 60' divides the vane into two portions: a first vane stage 50a' that extends from the fixed end of the vane at the wall 48 up to the step 60'; and a second vane stage 50b' that extends from the step 60' to the free end of the vane. The step 60' creates an axially facing surface 62' that faces generally toward the turbine chamber wall 43. As further described below, the surfaces 62' of the stepped vanes serve as a stop for an axially sliding piston of the variable nozzle mechanism.

[0043] The variable nozzle mechanism further comprises an axially sliding piston 70' of tubular form. The mechanism also includes a carrier 80 of tubular form that is axially inserted into the bore 44 of the turbine housing and retained in a fixed position therein by a suitable retaining mechanism such as a snap ring 82 or the like. The carrier 80 defines a central bore within which the piston is received such that the piston is axially slidable relative to the carrier. At least one sealing ring is disposed in a groove in the radially outer surface of the piston for engaging the inner surface of the carrier 80 to provide sealing between the piston and carrier so that exhaust gas cannot escape between these parts. The carrier advantageously can be split along an axial line so that the carrier can radially expand and contract along with the piston so as

to prevent binding or excessive clearance therebetween. The piston is slidable between a closed position and an open position, and various intermediate positions therebetween. The outer diameter of at least the upstream end portion of the piston that axially overlaps the second vane stage **50b'** is slightly smaller than the minimum diameter of the second vane stage. The piston's radially outer surface travels adjacent to the inner surfaces **54'** of the second vane stages **50b'** as the piston is axially slid. In the closed position of the piston, an upstream end surface **74'** of the piston abuts the stop surfaces **62'** of the stepped vanes. In this position, the piston effectively blocks the entire second vane stage **50b'** so that exhaust gas is prevented from flowing through the second vane stage to the turbine wheel. Accordingly, except for a small amount of leakage flow that may escape between the stop surfaces **62'** and the piston end surface **74'** and then flow through the second vane stage **50b'**, exhaust gas can flow only through the first vane stage **50a'** when the piston is closed.

[0044] In the open position of the piston **70'**, the upstream end surface **74'** can be generally in axial alignment with the wall **43** of the turbine housing chamber, or can be somewhat upstream of or downstream of the wall **43**. When the piston is axially slid in the downstream direction starting from the closed position, the end surface **74'** of the piston separates from the stop surfaces **62'** of the stepped vanes, and accordingly exhaust gas begins to flow through the unblocked portion of the second vane stage **50b'**. With further travel of the piston, more and more of the second vane stage is unblocked so that a greater and greater proportion of the total exhaust gas flow passes through the second vane stage. When the piston reaches the open (i.e., fully open) position (FIG. 7), preferably most or all of the length of the second vane stage is unblocked.

[0045] FIGS. 9 through 12 show a turbine assembly **120** of a turbocharger in accordance with a third embodiment of the invention. The turbine assembly is generally similar to those of the first and second embodiments described above. The turbine assembly includes a turbine housing **38** having a chamber **42** and defining a bore **44**, and includes a heat shield **46** having vanes **50** that include a step **60** in the vane outer surfaces defining stop surfaces **62** as in the first embodiment. The variable nozzle mechanism includes a carrier **80** as in the second embodiment above. The primary differences of the third embodiment compared to the first and second embodiments reside in the piston **170** that slides within the carrier **80**. The piston **170** at its

upstream end defines recesses **176** in the radially outer surface of the piston for receiving the second vane stages **50b** of the vanes. The recesses extend only partially through the radial thickness of the piston wall, such that each recess has a bottom surface that faces the inner surface **54** of the respective vane received in the recess. The engagement of the vanes in the recesses tends to prevent the piston from rotating about its axis, and also helps to improve the sealing between the piston and the second vane stages so that exhaust gas is deterred from leaking between these parts. The recesses advantageously have an axial length corresponding to that of the second vane stage **50b** and terminate at an end wall **178** of each recess. The free ends of the vanes abut the recess end walls **178** when the piston is in its closed position; in this position, the end surface **174** of the piston is axially aligned with the steps **60** in the vanes, and hence the piston blocks the entire second vane stage **50b** such that exhaust gas flows only through the first vane stage **50a** to the turbine wheel. As the piston is slid axially downstream, a gap begins to open up between the end surface of the piston and the steps **60** so that exhaust gas begins to flow through the unblocked portion of the second vane stage. When the piston reaches the open position, preferably the entire length of the second vane stage is unblocked.

[0046] FIGS. 13 and 14 illustrate a turbine assembly **220** of a turbocharger in accordance with a fourth embodiment of the invention. The turbine portion is generally similar to that of the third described above. The turbine portion includes a turbine housing **38** having a chamber **42** and defining a bore **44**, and includes a heat shield **46** having vanes **50** that include a step **60** in the vane outer surfaces defining stop surfaces **62** just as in the third embodiment. The primary differences of the fourth embodiment compared to the third embodiment reside in the piston **270** that slides within the turbine housing bore **44**. The piston **270** at its upstream end has a greater radial wall thickness than in the third embodiment, and defines slots **276** that extend axially into the piston from the upstream end surface **274** for receiving the second vane stages **50b** of the vanes. The engagement of the vanes in the slots tends to prevent the piston from rotating about its axis and helps provide sealing between the piston and vanes. The slots advantageously have an axial length at least as great as, and preferably substantially equal to, that of the second vane stage **50b**. The end surface **274** of the piston abuts the vane stop surfaces **62** when the piston is in its closed position; in this position, the piston blocks the entire second vane stage **50b** such that exhaust gas flows only through the first vane stage **50a** to the turbine wheel. As the piston is

slid axially downstream, a gap begins to open up between the end surface of the piston and the stop surfaces **62** so that exhaust gas begins to flow through the unblocked portion of the second vane stage. When the piston reaches the open position, preferably the entire length of the second vane stage is unblocked.

[0047] FIGS. 15 and 16 depict a turbine assembly **320** of a turbocharger in accordance with a fifth embodiment of the invention. This embodiment is generally similar to the third embodiment described above. The turbine assembly includes a turbine housing **38** having a chamber **42** and defining a bore **44**. The turbine portion also includes a heat shield **346** having vanes **350**, but unlike the third embodiment, the vanes include a step in both their outer surfaces and their inner surfaces, defining stop surfaces and dividing the vanes into first vane stages **350a** and second vane stages **350b**. The variable nozzle mechanism includes a carrier **80** and the piston **370** slides within the carrier as in the second embodiment above. The piston **370** at its upstream end has a radially outwardly extending flange **378**. The flange defines apertures **377** for receiving the second vane stages **350b** of the vanes. The radially outer surface of the piston just downstream of the flange **378** also defines recesses **376** for receiving the second vane stages, similar to the recesses **176** of the third embodiment described above. The engagement of the vanes in the apertures **377** of the flange and in the recesses **376** tends to prevent the piston from rotating about its axis and helps provide sealing between the piston and vanes. The flange **378** abuts the vane stop surfaces when the piston is in its closed position as shown in FIG. 15; in this position, the end surface **174** of the piston flange is axially aligned with the steps **360** in the vanes, and hence the piston blocks the entire second vane stage **350b** such that exhaust gas flows only through the first vane stage **350a** to the turbine wheel. As the piston is slid axially downstream, a gap begins to open up between the end surface of the piston and the steps **360** so that exhaust gas begins to flow through the unblocked portion of the second vane stage. When the piston reaches the open position, preferably the entire length of the second vane stage is unblocked.

[0048] FIGS. 17 and 18 show a sixth embodiment of the invention. The turbine assembly **420** in accordance with this embodiment has a heat shield **346** supporting vanes **350** substantially identical to those of the fifth embodiment above. The turbine portion includes a piston **470** that slides in the bore **44** of the turbine housing **38**. The piston **470** is substantially similar to the

piston 270 of the fourth embodiment. Thus, the piston 470 at its upstream end has a substantial radial wall thickness and defines slots 476 that extend axially into the piston from the upstream end surface 474 for receiving the second vane stages 350b of the vanes. The engagement of the vanes in the slots tends to prevent the piston from rotating about its axis and helps provide sealing between the piston and vanes. The slots advantageously have an axial length at least as great as, and preferably substantially equal to, that of the second vane stage 350b. The end surface 474 of the piston abuts the vane stop surfaces when the piston is in its closed position; in this position, the piston blocks the entire second vane stage 350b such that exhaust gas flows only through the first vane stage 350a to the turbine wheel. As the piston is slid axially downstream, a gap begins to open up between the end surface of the piston and the stop surfaces so that exhaust gas begins to flow through the unblocked portion of the second vane stage. When the piston reaches the open (i.e., fully open) position, preferably most or all of the length of the second vane stage is unblocked.

[0049] In the various embodiments of the invention, in order to avoid binding between the piston and the second stage vanes at high temperature, a small gap is intentionally provided between the second stage vane outer surface(s) and corresponding any surface(s) of the piston confronted thereby. As described, the first stage vanes have a "thicker" profile than the second stage vanes, thus creating the so-called "step". The step serves two functions: (1) it seals up the gap between the piston and second stage vanes when the piston is fully closed, and (2) it ensures a reliable mechanical stop for the sliding piston in the fully closed position.

[0050] Many modifications and other embodiments of the inventions set forth herein will come to mind to one skilled in the art to which these inventions pertain having the benefit of the teachings presented in the foregoing descriptions and the associated drawings. For example, the stepped vanes in the illustrated and described embodiments are affixed to a heat shield, but alternatively the turbocharger can be designed such that the vanes are affixed to a wall of the center housing or are affixed in some other way. Therefore, it is to be understood that the inventions are not to be limited to the specific embodiments disclosed and that modifications and other embodiments are intended to be included within the scope of the appended claims. Although specific terms are employed herein, they are used in a generic and descriptive sense only and not for purposes of limitation.

## WHAT IS CLAIMED IS:

1. A turbine assembly for a turbocharger, comprising:
  - a turbine housing defining a bore and defining a chamber surrounding the bore for receiving exhaust gas to be directed into a turbine wheel disposed in the bore, a radially inner side of the chamber having an axial length; and
  - a variable nozzle having stepped two-stage vanes, the variable nozzle comprising:
    - a tubular piston disposed in the bore of the turbine housing such that the piston is axially slidable relative to the turbine housing along the radially inner side of the chamber such that the piston blocks a variable portion of the axial length of the chamber depending on axial position of the piston, the piston having an upstream end and a downstream end with respect to a flow direction of exhaust gas along the bore of the turbine housing; and
    - a generally annular wall that extends generally radially inwardly adjacent an upstream end of the axial length of the chamber, and an array of circumferentially spaced vanes having fixed ends joined to the generally annular wall and opposite free ends, the vanes extending across the axial length of the chamber, the vanes each having an outer surface that faces generally radially outwardly and an opposite inner surface that faces generally radially inwardly, wherein each vane defines a first vane stage proximate the fixed end of the vane and a second vane stage proximate the free end of the vane and having a different aerodynamic contour of at least one of the outer and inner surfaces in comparison with the first vane stage, each vane comprising a step transitioning from the first vane stage to the second vane stage, and wherein the piston in a closed position closes the second vane stage so that exhaust gas flows only through the first vane stage, the second vane stage being progressively opened as the piston is axially slid toward an open position.
2. The turbine assembly of claim 1, wherein the step defines a downstream-facing surface that is abutted by the piston to define the closed position of the piston.

3. The turbine assembly of claim 1, wherein the step is in the outer surface of each vane, and the upstream end of the piston has a radially inner surface that travels adjacent to the outer surfaces of the second vane stages as the piston is axially slid.
4. The turbine assembly of claim 1, wherein the step is in the inner surface of each vane, and the upstream end of the piston has a radially outer surface that travels adjacent to the inner surfaces of the second vane stages as the piston is axially slid.
5. The turbine assembly of claim 1, wherein the step is in the outer surface of each vane, and the upstream end of the piston has a radially outer surface in which recesses are formed for respectively receiving the second vane stages with the inner surface of each second vane stage confronting a radially outwardly facing wall of each respective recess, and wherein the free ends of the vanes abut end walls of the recesses to define the closed position of the piston.
6. The turbine assembly of claim 1, wherein the upstream end of the piston has a radial wall thickness exceeding a radial extent of the second vane stages, the piston defining slots extending into the upstream end of the piston for receiving the second vane stages.
7. The turbine assembly of claim 6, wherein the step is in the outer surface of each vane.
8. The turbine assembly of claim 6, wherein the step is in both the outer and inner surfaces of the vanes.
9. The turbine assembly of claim 1, wherein the upstream end of the piston defines a radially outwardly extending flange, the flange defining apertures therethrough for receiving the second vane stages, and the flange abutting the step to define the closed position of the piston.
10. The turbine assembly of claim 9, wherein the step is in both the outer and inner surfaces of each vane.
11. The turbine assembly of claim 1, wherein the upstream end of the piston has a radial wall thickness exceeding a radial extent of the second vane stages, the piston defining slots extending into the upstream end of the piston for receiving the second vane stages, and wherein the step is in both the outer and inner surfaces of the vanes.



12. The turbine assembly of claim 1, wherein the generally annular wall to which the vanes are affixed comprises a heat shield.
13. The turbine assembly of claim 1, further comprising a tubular carrier inserted into the bore of the turbine housing, the piston being received within the carrier and being axially slidable relative to the carrier.
14. The turbine assembly of claim 13, wherein the carrier is axially split such that the carrier is able to radially expand and contract as the piston expands and contracts.

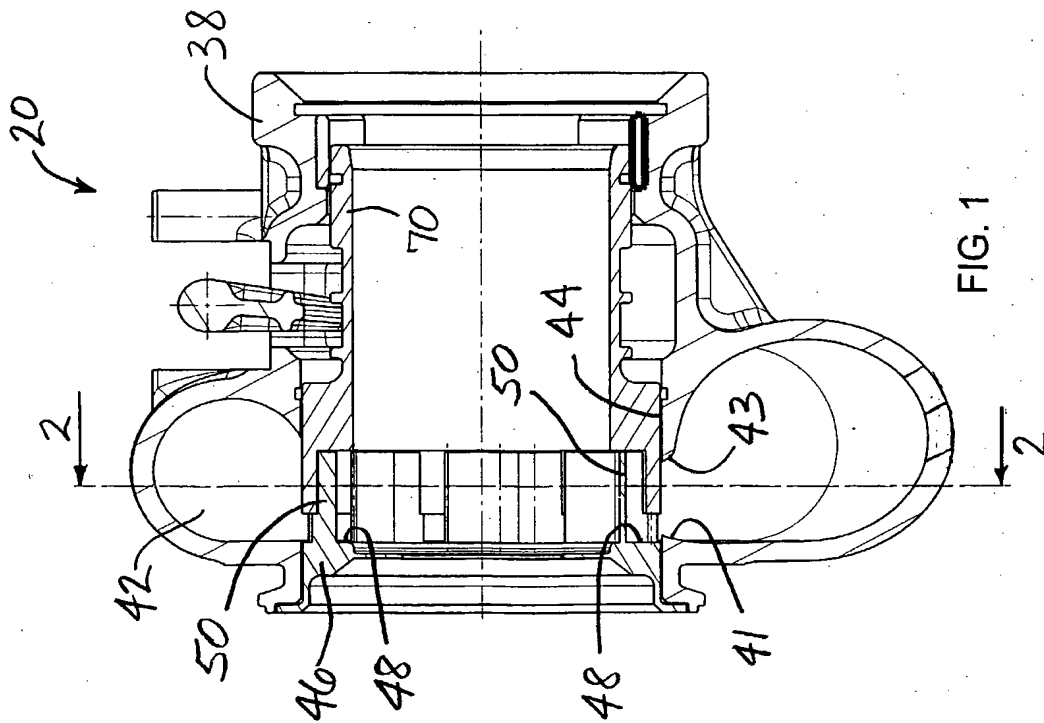


FIG. 1

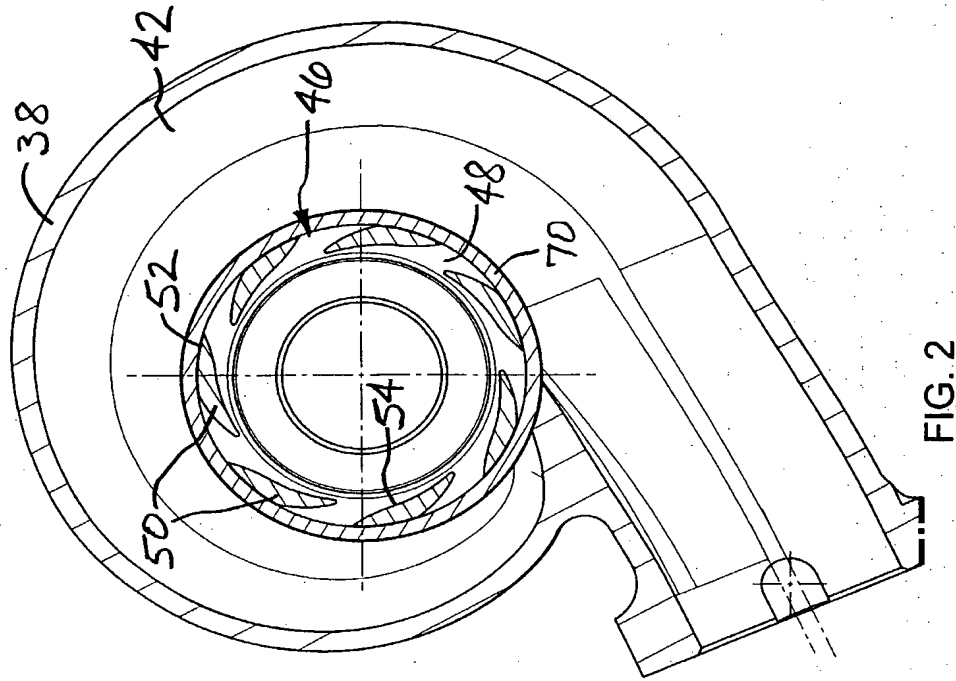
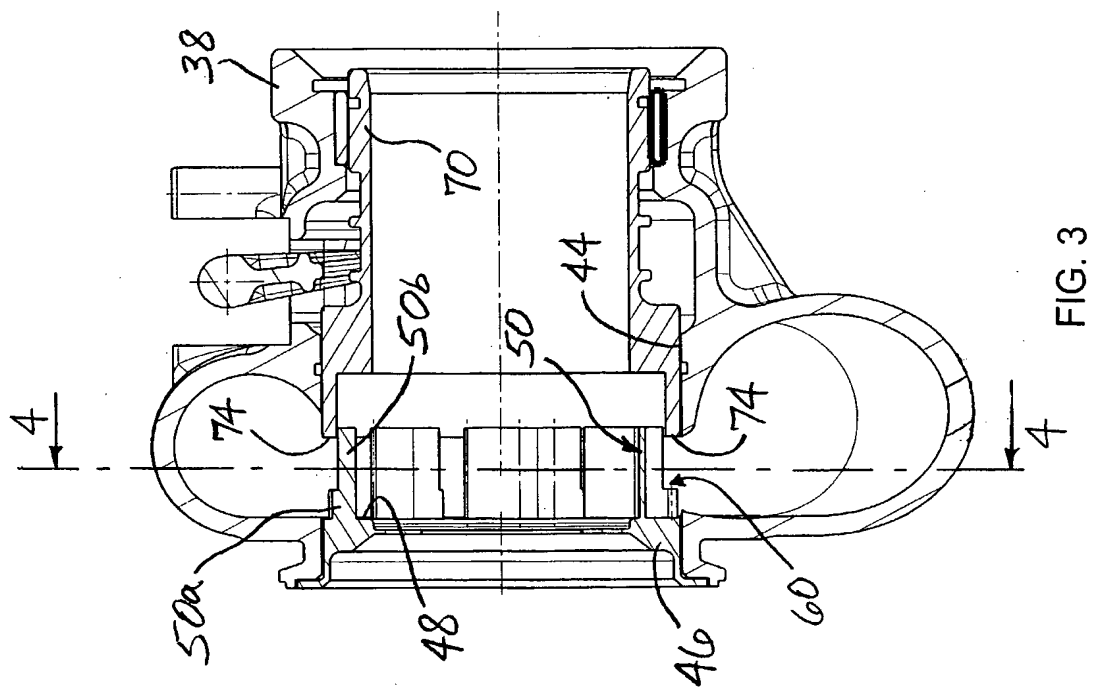
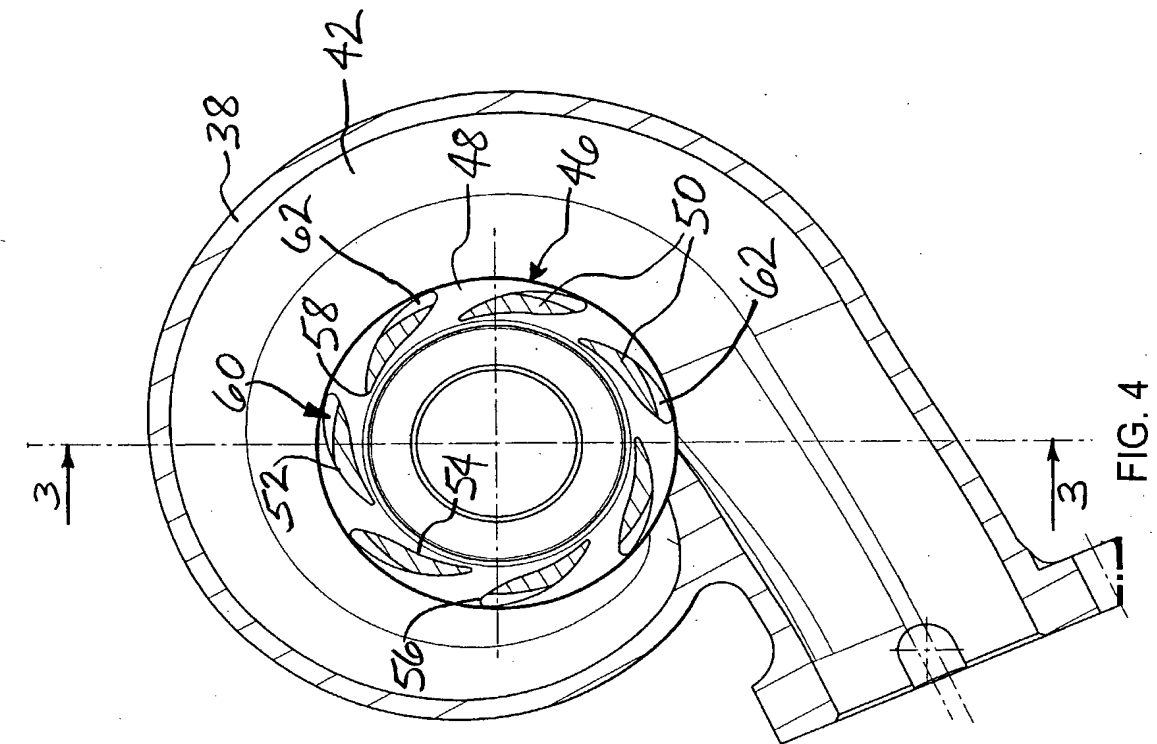


FIG. 2



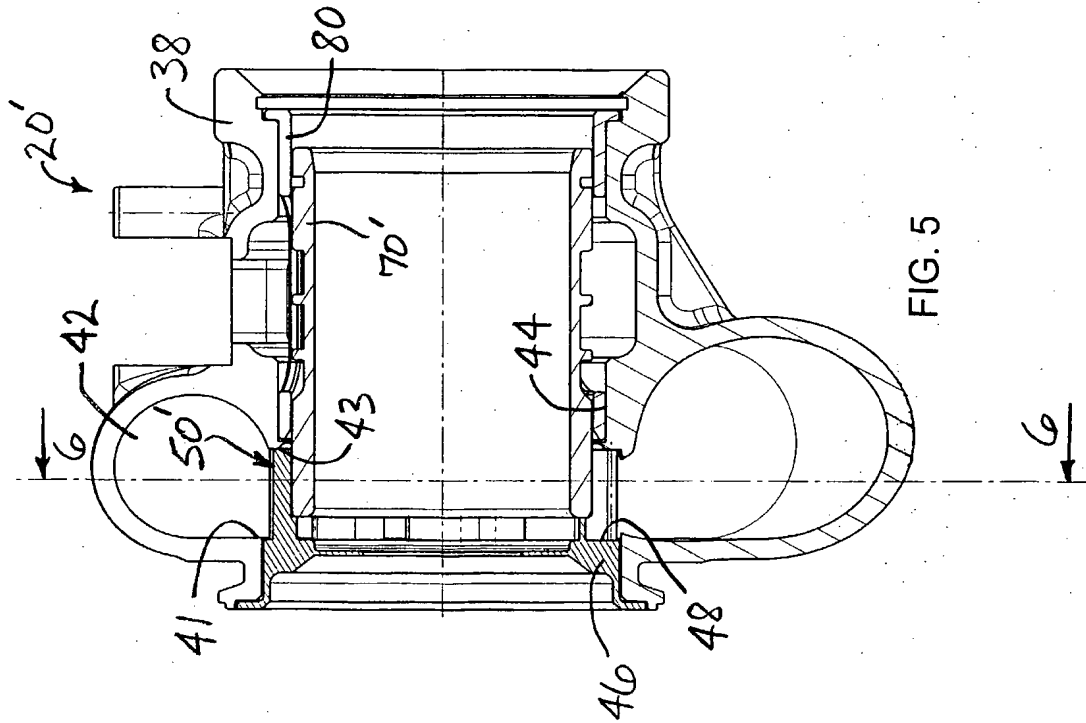


FIG. 5

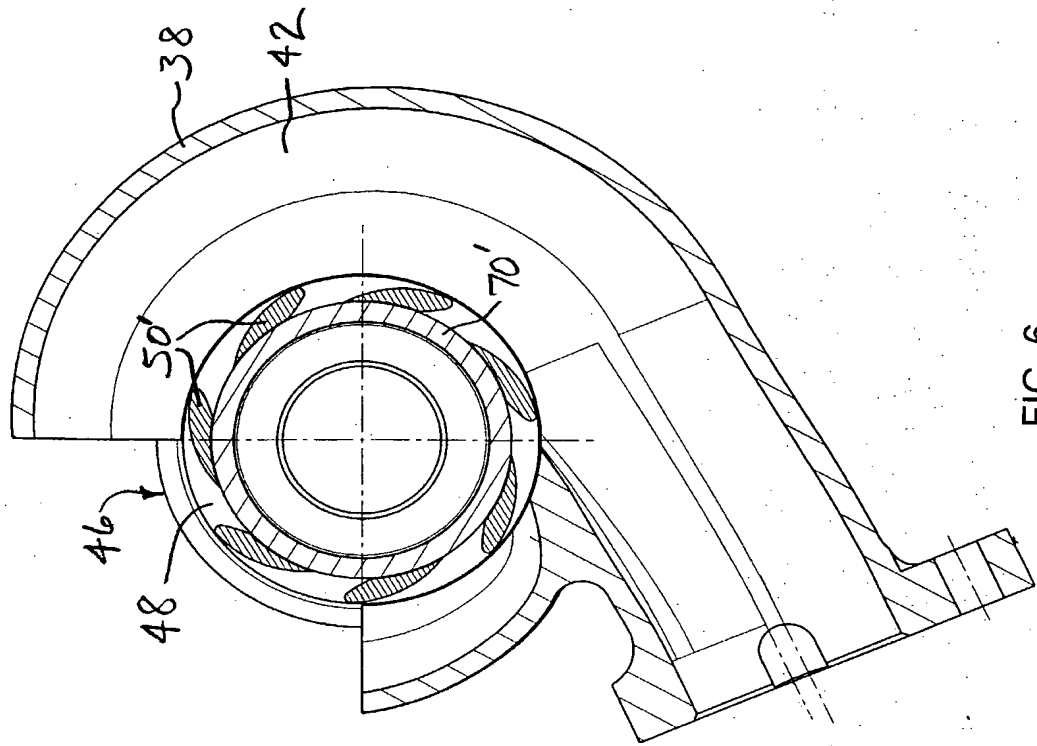


FIG. 6

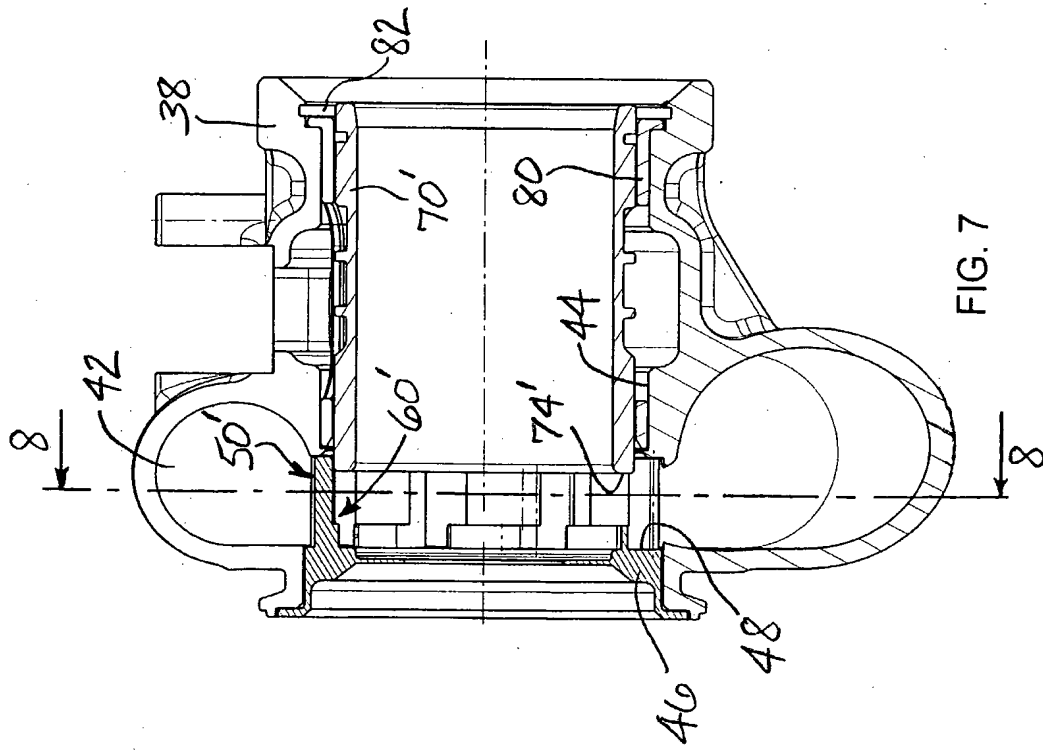


FIG. 7

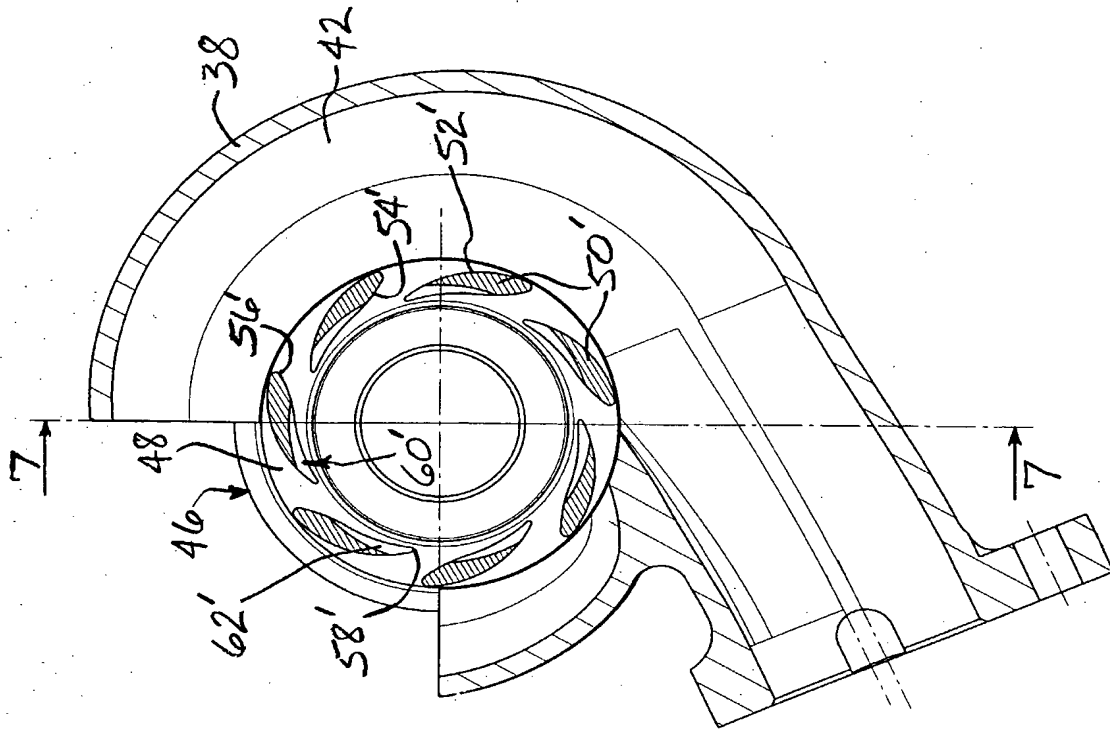


FIG. 8

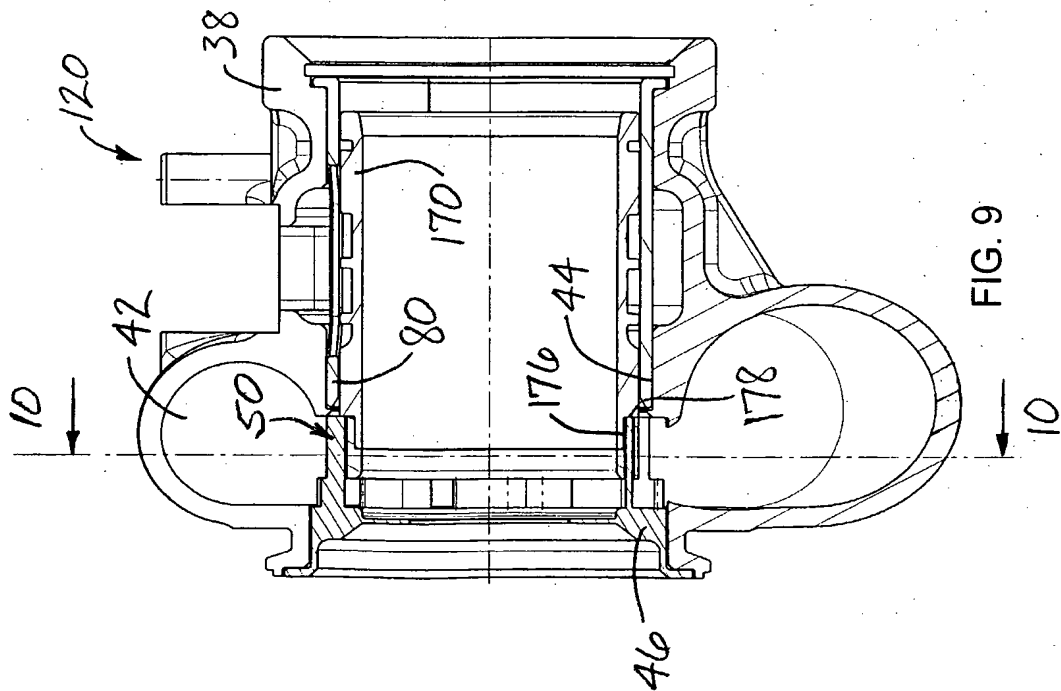


FIG. 9

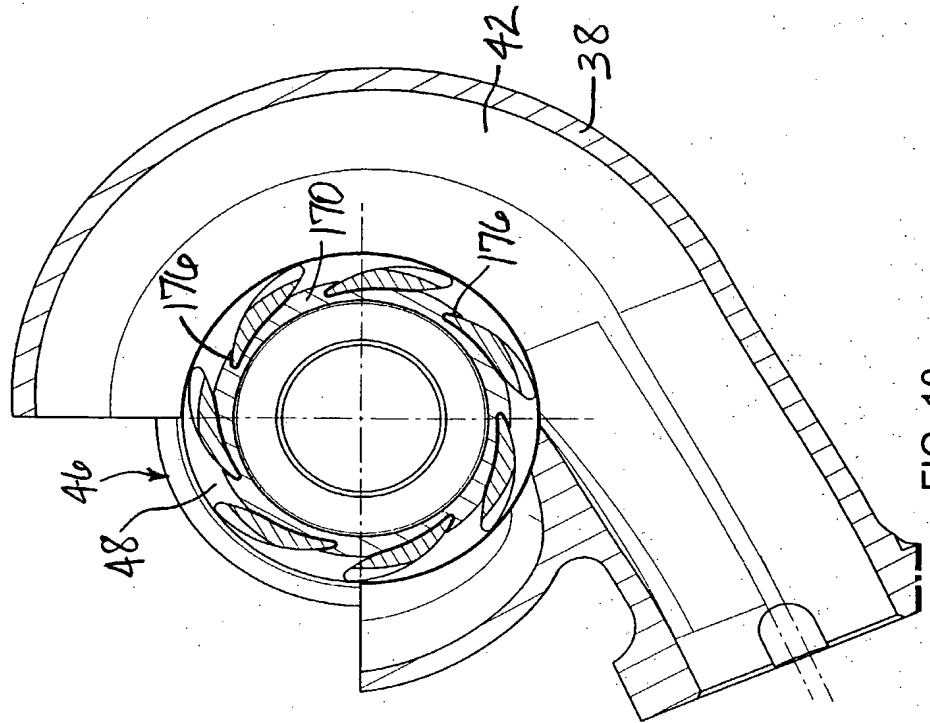
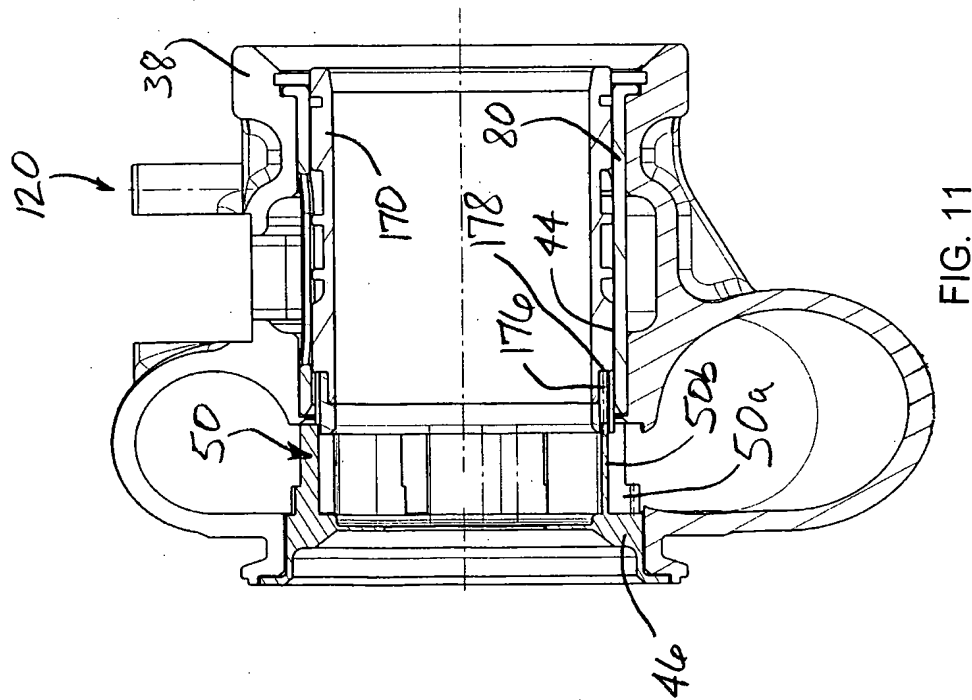
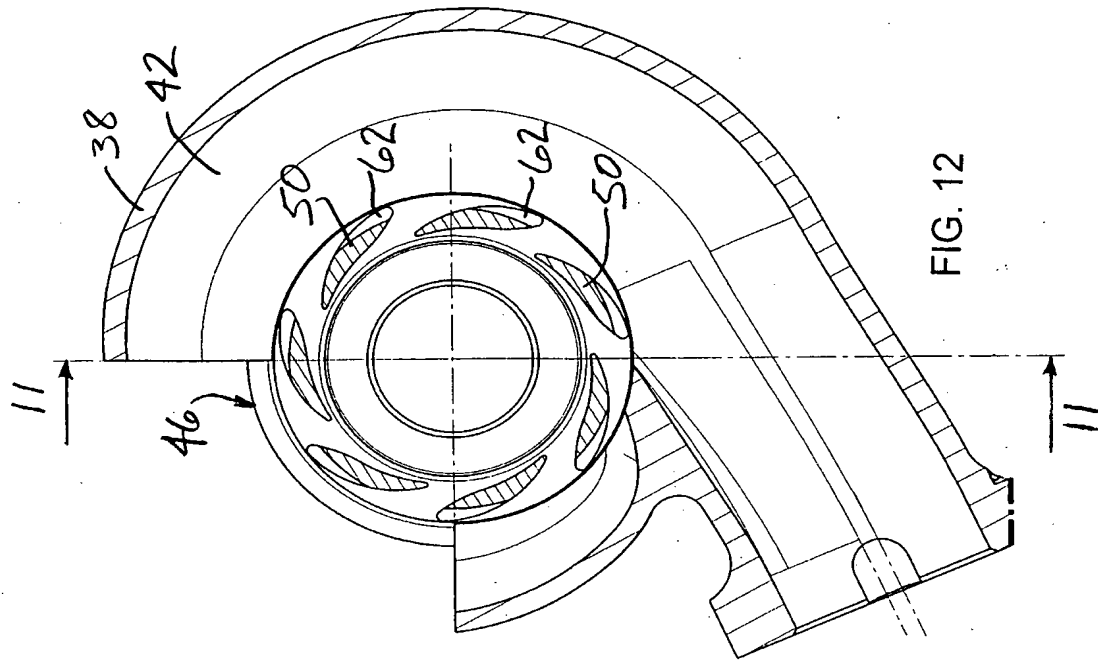
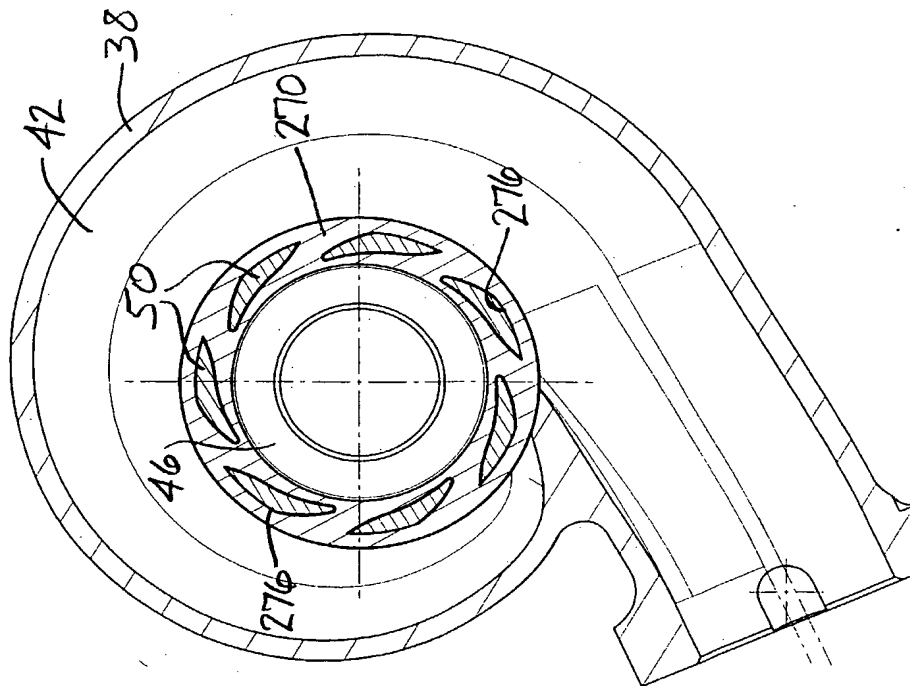
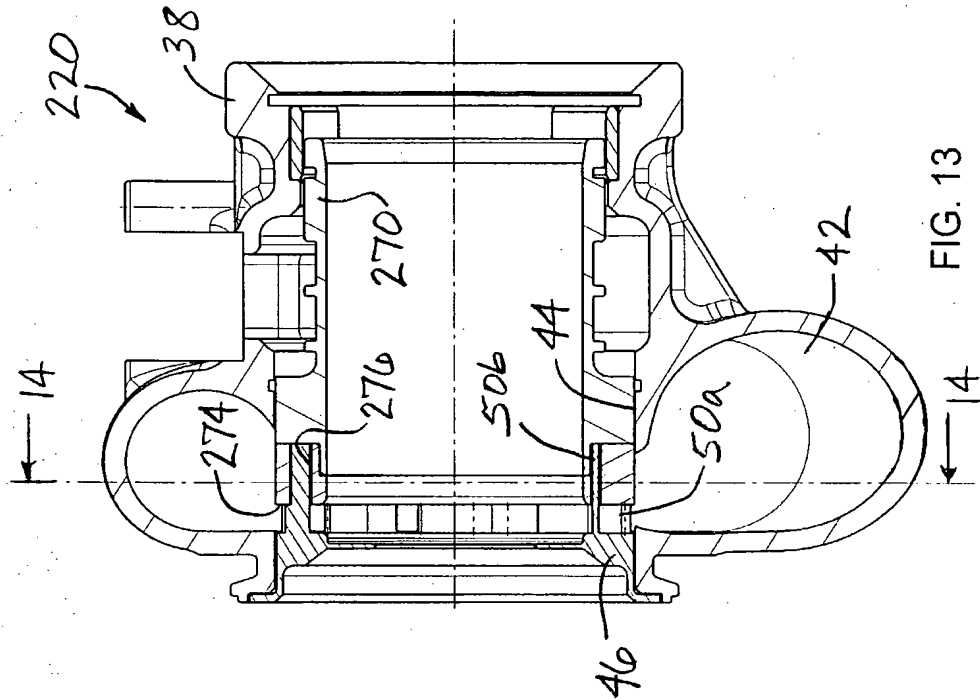
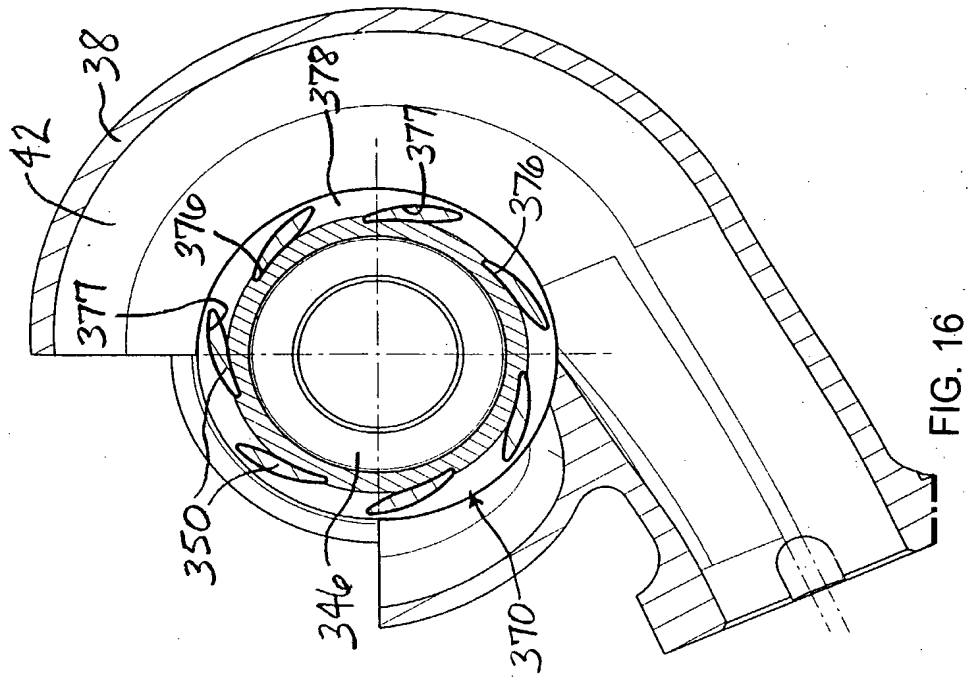
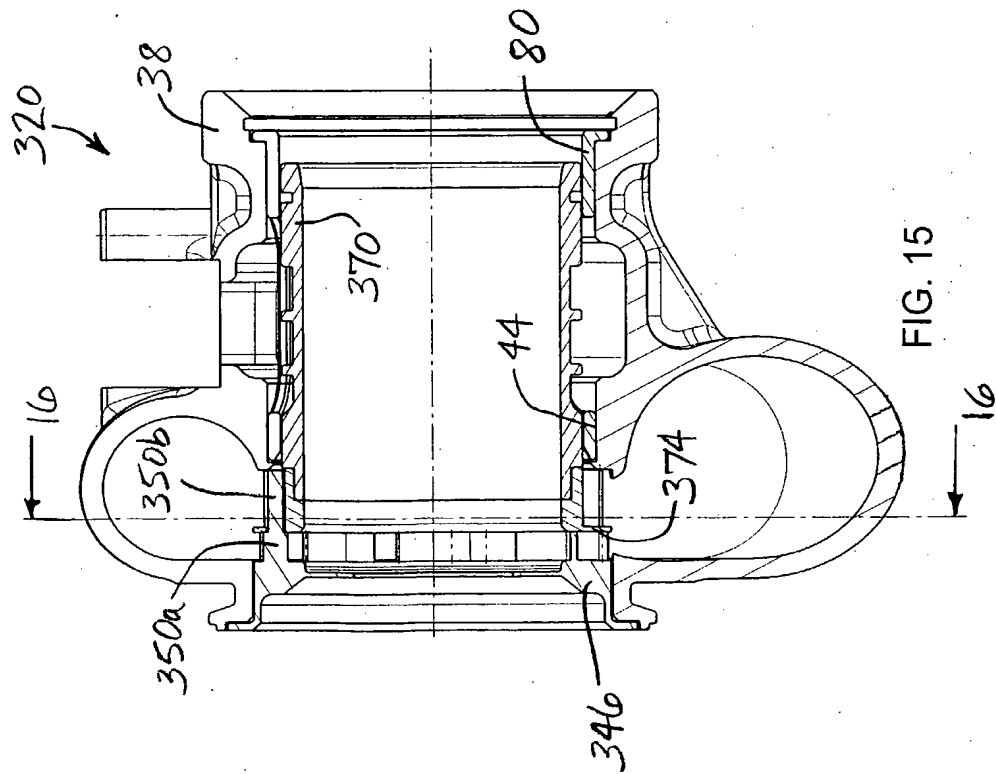


FIG. 10









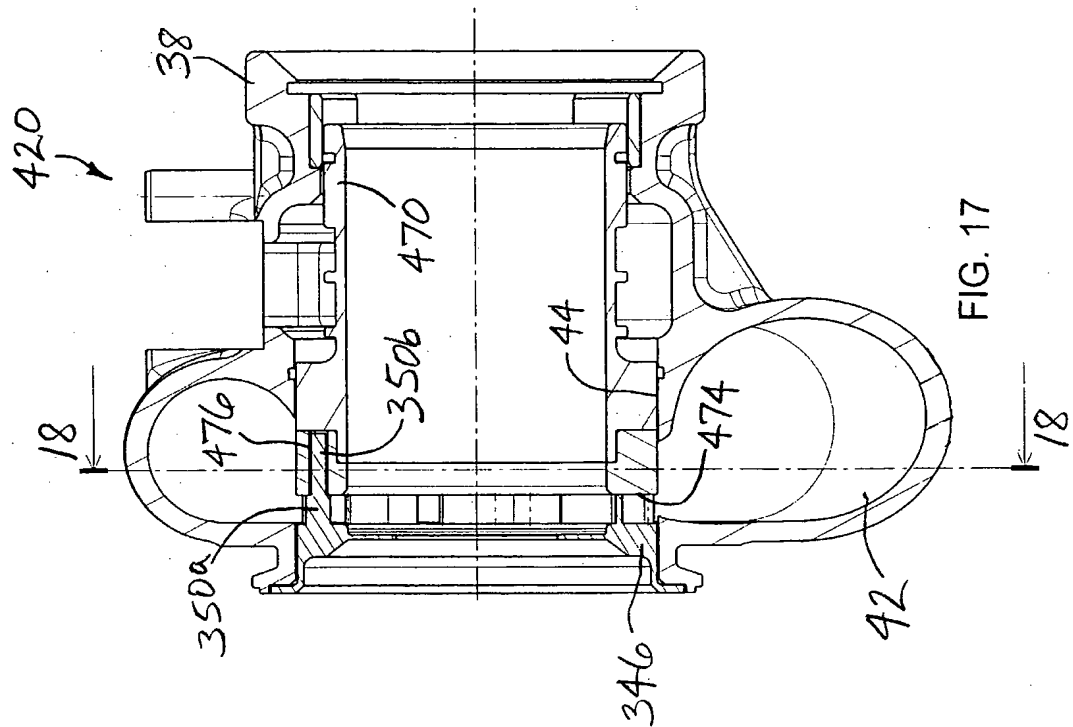


FIG. 17

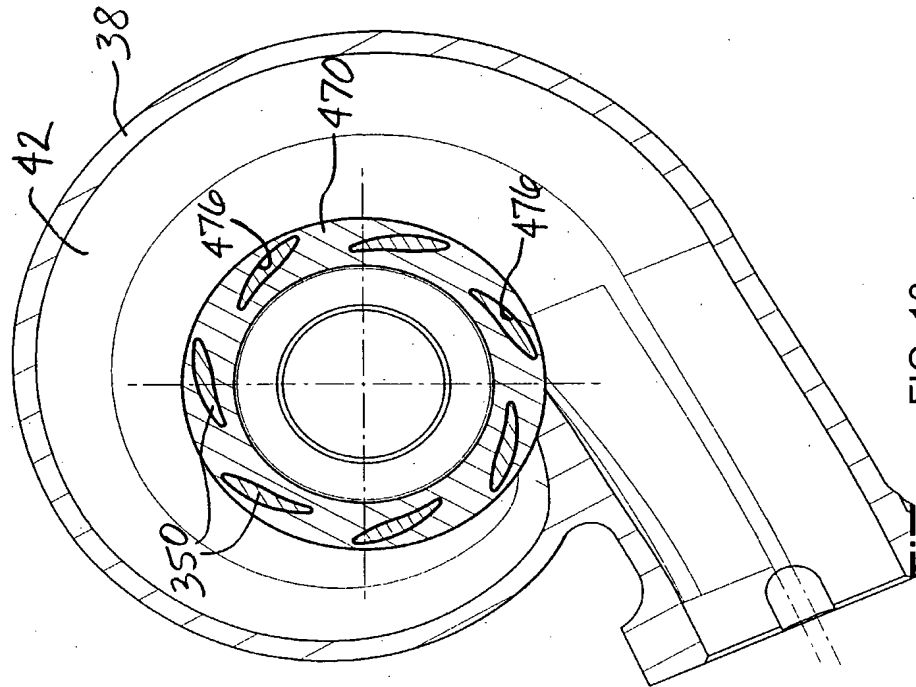


FIG. 18

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> INV. F01D17/14		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) F01D F02C		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 2005/106212 A (HONEYWELL INTERNATIONAL INC; PETITJEAN, DOMINIQUE; ARNOLD, PHILIPPE; R) 10 November 2005 (2005-11-10) page 3, line 19 - page 4, line 3 page 10, line 15 - page 16, line 9 page 17, line 28 - page 18, line 2 abstract; figures	1-14
X	EP 1 353 040 A (HOLSET ENGINEERING CO. LIMITED) 15 October 2003 (2003-10-15) paragraph [0019] - paragraph [0023] paragraph [0031] abstract; figures	1-12
<div style="display: flex; justify-content: space-between;"> <span><input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C.</span> <span><input checked="" type="checkbox"/> See patent family annex.</span> </div>		
<div style="display: flex;"> <div style="flex: 1;"> <p>* Special categories of cited documents :</p> <p>*A* document defining the general state of the art which is not considered to be of particular relevance</p> <p>*E* earlier document but published on or after the international filing date</p> <p>*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>*O* document referring to an oral disclosure, use, exhibition or other means</p> <p>*P* document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="flex: 1;"> <p>*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>*G* document member of the same patent family</p> </div> </div>		
Date of the actual completion of the international search <div style="text-align: center; font-weight: bold;">29 June 2006</div>		Date of mailing of the international search report <div style="text-align: center; font-weight: bold;">06/07/2006</div>
Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016		Authorized officer <div style="text-align: center; font-weight: bold;">O' Shea, G</div>

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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X	US 4 586 336 A (HOERLER ET AL) 6 May 1986 (1986-05-06) column 2, line 15 - line 33 column 5, line 31 - line 47 abstract; figures 5,6 -----	1,4,13
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**PUB-NO:** WO2007058649A1  
**DOCUMENT-IDENTIFIER:** WO 2007058649 A1  
**TITLE:** TURBOCHARGER WITH STEPPED  
TWO-STAGE VANE NOZZLE  
**PUBN-DATE:** May 24, 2007

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**APPL-NO:** US2005041362  
**APPL-DATE:** November 16, 2005

**PRIORITY-DATA:** US2005041362W (November 16, 2005)

**INT-CL (IPC):** F01D017/14

**EUR-CL (EPC): F01D017/14**

**ABSTRACT:**

CHG DATE=20070525 STATUS=O>A turbocharger having a variable nozzle with stepped two-stage vanes (50), the variable nozzle comprising a tubular piston (70) disposed in the bore (44) of the turbine housing (38) such that the piston (70) is axially slidable adjacent to the vanes (50) that extend across the nozzle. Each vane defines a first vane stage (50a) proximate the free end of the vane, the second vane stage (50b) having a different aerodynamic contour in comparison with the first vane stage (50a), each vane comprising a step (60) transitioning from the first vane stage (50a) to the second vane stage (50b). The piston (70) in a closed position closes the second vane stage (50b) so that exhaust gas flows only through the first vane stage (50a). The second vane stage (50b) is progressively opened as the piston (70) is axially slid toward an open position.